



Dkt. #873-Z-US

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Ivan C. KING
U.S. Serial No.: 10/738,423
Filing Date : December 16, 2003
For : COMPOSITIONS AND METHODS FOR TUMOR-TARGETED
DELIVERY OF EFFECTOR MOLECULES

Law Offices of Albert Wai-Kit Chan, LLC
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January 12, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir/Madam:

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of disclosure under 37 C.F.R. 1.56, Applicant hereby submits this Information Disclosure Statement (IDS) to direct the Examiner's attention to the references listed on Form PTO/SB/08A, attached hereto as **Exhibit A**. The individual references are attached hereto as **Exhibits 1-2**.

Pursuant to 37 C.F.R. 1.97(b), no fee is required for the filing of an IDS before the mailing of a first Office Action on the merits. Accordingly, no fee is deemed necessary in connection with the filing of this paper. However, if any fee is required, authorization is hereby given to charge the amount of any such fee to Deposit Account No. 50-1891. Conversely, authorization is also given to credit any the amount of any overpayments to Deposit Account No. 50-1891.

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If a telephone interview would be of assistance in advancing the prosecution of the subject application, Applicant's undersigned attorney invites the Examiner to telephone him at the number provided below.

Respectfully submitted,

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I hereby certify that this paper is being deposited this date with the U.S. Postal Service with sufficient postage for first-class mail addressed to:

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Complete if Known

Application Number	10/738,423
Filing Date	December 16, 2003
First Named Inventor	Ivan C. KING
Art Unit	1632
Examiner Name	R. Shukla
Attorney Docket Number	873-Z-US

Sheet	1	of	1
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY			
	1	EP 0338679	10-25-1989	Genentech, Inc.		
	2	WO 97/08955	03-13-1997	Dept. of the Army, U.S. Govt.		

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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